MAR 3 1.2003

In re the application HEUMANN

Serial No: 09/685,165

Filed: Oct. 5, 2000

For: KNOWLEDGE FILTER

RECEIVED 2 2003

Rechnology Center 2 100

Check all ...

Date: March 25, 2003

Group Art Unit: 2172

Examiner: Kindred, Alford W.

ENCLOSURES (check all that apply)									
	Amendment/Reply		Ш	Assignment and Recordation Cover Sheet		Ш	After Allowance Communication to Group		
	After Final			Part B-Issue Fee Transmittal			Appeal Communication to Board of Appeals and Interferences		
	Information disclosure statement			Letter to Draftsman			Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)		
	Form 1449			Drawings			Status Letter		
	(X) Copies of References			Petition			Postcard		
	Extension of Time Request *			Fee Address Indication Form			Other Enclosure(s) (please identify below):		
	Express Abandonment			Terminal Disclaimer					
	Certified Copy of Priority Doc			Power of Attorney and Revocation of Prior Powers					
	Response to Incomplete Appln			Change of Correspondence Address					
	Response to Missing Parts  *Extension of Term: Pursuant to 37 CFR 1.136, Applicant petitions the								
<u> </u>	Executed Declaration by			Commissioner to extend the time for response for xxxxxx month(s),					
	Inventor(s) from to .								
CLAIMS									
FOR Claims Remain				Highest # of Claims Extra C		aims	RATE	FEE	
After Amendme			ent	Previously Paid For					
		28		28	0		\$18.00	\$ 0.00	
Independent Claims 2		l_	3 0			\$84.00	\$ 0.00		
Total Fees \$ 0.00  METHOD OF PAYMENT									
$  \sqcup  $	Check no in the amount of \$ is enclosed for payment of fees.								
	Charge \$ to Deposit Account No (Account Holder Name) for payment of fees.								
Charge any additional fees or credit any overpayment to Deposit Account No. 02-2120 (Sawyer Law Group LLP).									
CIONATURE OF ARRUGANT ATTORNEY OR ACENT									
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT									
Attorney Name Joseph A. Sawyer, Jr., Reg. No. 30,801									
Signature Signature									
Date March 25, 2003									
CERTIFICATE OF MAILING									
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: March 25, 2003									
Type or printed name   Loretta Rowles									
Signature R. Rowler									





Knowledge filter 1776P JAS

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE

WASHINGTON, D.C. 2023I WWW.USDfo.gov

 APPLICATION NUMBER
 FILING DATE
 GRP ART UNIT
 FIL FEE REC'D
 ATTY.DOCKET.NO
 DRAWINGS
 TOT CLAIMS
 IND CLAIMS

 09/685,165
 10/05/2000
 2171
 427
 1776P
 13
 28
 2

**CONFIRMATION NO. 3401** 

FILING RECEIPT

\*OC00000005802105\*

Sawyer Law Group LLP P O Box 51418 Palo Alto, CA 94303

MAR 0 6 2001

Date Mailed: 02/26/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Michael Carl Heumann, Sabastopol, CA; Keith Cooley, Palo Alto, CA;

Continuing Data as Claimed by Applicant

THIS APPLN CLAIMS BENEFIT OF 60/158,496 10/08/1999

Foreign Applications

If Required, Foreign Filing License Granted 02/26/2001

**Projected Publication Date:** 

Non-Publication Request: No

Early Publication Request: No

\*\* SMALL ENTITY \*\*

**Title** 

Knowledge filter

**Preliminary Class** 

707

RECEIVED

APR 0 2 2003

Technology Center 2100

Data entry by : SCOTT, JOSEPH

Team: OIPE

Date: 02/26/2001

\*691340968516501022600\*

## LICENSE FOR FOREIGN FILING UNDER Title 35, United States Code, Section 184 Title 37, Code of Federal Regulations, 5.11 & 5.15

## **GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CRF 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 36 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

## **NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

## PLEASE NOTE the following information about the Filing Receipt:

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 600 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- The title is recorded in sentence case.

Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents Office of Initial Patent Examination Customer Service Center Washington, DC 20231